APPLICATION FOR CAPITAL SUBSIDY TO MEDIUM / MEGA / LARGE SCALE INDUSTRIES
APPLICATION FOR CAPITAL SUBSIDY TO MSME/MEGA/LARGE SCALE INDUSTRIES AS PER G.O.MS.NO:15 OF INDUSTRIES (MIB 1) DEPARTMENT DATED 4.2.2008

Date of receipt of Processing fees :  

<table>
<thead>
<tr>
<th>Receipt No</th>
<th>Date</th>
<th>Amount (with applicable Service Tax)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1.0 UNIT LOCATION DETAILS

1.1 Name of the unit :  

1.2 Whether the unit is (strike out whichever is not applicable)  
   New unit/First time set up in Tamilnadu having mfg units in other States/Existing units/ Existing unit in a new site or adjacent vacant site

1.3 Address ( Give complete address details ) :  

<table>
<thead>
<tr>
<th>Address</th>
<th>Registered Office</th>
<th>Factory</th>
<th>Correspondence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Survey No/Door No Address</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>City/Town</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pincode</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Phone No/Mobile No Fax No</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e-mail</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Website address</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PAN No</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
1.4 Whether the unit is located at: SIPCOT IP/IE/IC/SEZ/GC  
(a copy of SIPCOT’s allotment letter shall be enclosed)

1.5 Type of Industry: 

1.6 Products manufactured: 
(i): 

1.7 Details of MSME/SIA/ROC/Company Identification Number: 

2.0 MANAGEMENT DETAILS

2.1 Constitution of the unit:

2.2 Details of the Proprietor/Partner/Director as per the following format:

<table>
<thead>
<tr>
<th>Sl no</th>
<th>Name</th>
<th>Age</th>
<th>% of shareholding</th>
<th>Whether Professional director</th>
</tr>
</thead>
</table>

2.3 Details of the units in which the present promoters are associated:

3.0 FINANCIAL TIE UP DETAILS

3.1 Project is implemented with: Bank/ Institutional Funding/Own Finance/both

3.2 If implemented with Bank/Institutional finance, then indicate: (Rs in lakhs)

<table>
<thead>
<tr>
<th>Name and address of the Bank/Financial Institution</th>
<th>Date of sanction</th>
<th>Amount sanctioned</th>
<th>Amount disbursed</th>
<th>Amount Outstanding</th>
<th>Amount Overdue, if any</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

3.3 Investment in eligible fixed assets  
(during the investment period as per G.O.)

<table>
<thead>
<tr>
<th>Slno</th>
<th>Description</th>
<th>Amount invested (Rs in lakhs)</th>
<th>Funded by</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Bank/FI</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>own fund</td>
</tr>
<tr>
<td>1</td>
<td>Land</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Building</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Plant &amp; machinery</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4.0 INVESTMENTS MADE IN ELIGIBLE FIXED ASSETS FOR THE PROJECT FOR THE PERIOD FROM ----- TO -----  
4.1 Details of the investment made in the project (Rs in lakhs)

<table>
<thead>
<tr>
<th>PROJECT COST</th>
<th>AMOUNT</th>
<th>MEANS OF FINANCE</th>
<th>AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>LAND</td>
<td></td>
<td>SHARE CAPITAL</td>
<td></td>
</tr>
<tr>
<td>BUILDING</td>
<td></td>
<td>INTERNAL GENERATION</td>
<td></td>
</tr>
<tr>
<td>PLANT AND MACHINERY</td>
<td></td>
<td>TERM LOAN (BANK/ FINANCIAL INSTITUTION)</td>
<td></td>
</tr>
<tr>
<td>IMPORTED MACHINERY</td>
<td></td>
<td>STATE CAPITAL SUBSIDY</td>
<td></td>
</tr>
<tr>
<td>INDIGENOUS MACHINERY</td>
<td></td>
<td>DEBENTURES</td>
<td></td>
</tr>
<tr>
<td>EFFLUENT TREATMENT PLANT</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TRANSPORT &amp; ERECTION</td>
<td></td>
<td>UNSECURED LOAN</td>
<td></td>
</tr>
<tr>
<td>ELECTRICALS</td>
<td></td>
<td>DEFERRED PAYMENT GUARANTEE</td>
<td></td>
</tr>
<tr>
<td>TECHNICAL KNOW-HOW</td>
<td></td>
<td>HIRE PURCHASE</td>
<td></td>
</tr>
<tr>
<td>OTHER ASSETS</td>
<td></td>
<td>LEASING</td>
<td></td>
</tr>
<tr>
<td>PRELIMINARY &amp; PRE-OPERATIVE EXPENSES</td>
<td></td>
<td>OTHERS</td>
<td></td>
</tr>
<tr>
<td>WORKING CAPITAL MARGIN</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOTAL</td>
<td></td>
<td>TOTAL</td>
<td></td>
</tr>
</tbody>
</table>
4.2 Details of Land

4.2.1 Own land/lease land

{Sale deed executed for own land/
Lease deed [copy to be enclosed]}

4.4.2 Location : (Rs in lakhs)

<table>
<thead>
<tr>
<th>SLNO</th>
<th>LOCATION (DETAILS LIKE S.NO/D.NO, STREET NAME, VILLAGE NAME, TALUK, DISTRICT)</th>
<th>EXTENT</th>
<th>GUIDE LINE VALUE (IF OWN)</th>
<th>DOCUMENT VALUE (IF OWN)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

4.3 Details of Building

4.3.1 The details of building constructed: (Rs in lakhs)

<table>
<thead>
<tr>
<th>Slno</th>
<th>Description</th>
<th>Type of roof</th>
<th>Area constructed</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4.4 Details of Plant & Machinery

4.4.1 Investment in Imported machinery (including second hand)
(investment details as per the following format)

<table>
<thead>
<tr>
<th>SLNO</th>
<th>DESCRIPTION OF THE MACHINERY</th>
<th>NAME OF THE SUPPLIER</th>
<th>BILL/INVOICE NUMBER &amp; DATE</th>
<th>VALUE OF THE MACHINERY AS PER INVOICE</th>
<th>NEW/SECOND HAND</th>
<th>OTHER CHARGE FOR IMPORT</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4.4.2 Investment in Indigenous machinery: (only new machinery)
(investment details as per the following format)

<table>
<thead>
<tr>
<th>SLNO</th>
<th>DESCRIPTION OF THE MACHINERY</th>
<th>NAME OF THE SUPPLIER</th>
<th>BILL/INVOICE NUMBER &amp; DATE</th>
<th>VALUE OF THE MACHINERY AS PER INVOICE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

4.5 Total Investment in Eligible Fixed Assets:

4.6 Capital Subsidy is applied for :
5.0 EMPLOYMENT DETAILS

5.1 Details of Employment ** (only direct workers employed as on the date of commencement of commercial production to be included)

5.1.1 Managerial : 
5.1.2 Supervisory/Technical : 
5.1.3 Workers
5.1.3.1 Skilled : 
5.1.3.2 Semi skilled : 
5.1.3.3 Un skilled : 
5.1.3.4 Others (Specify) : 

(** supported by the returns filed with the PF authorities and certificates by the Statutory Auditor /Chartered Accountant of the company)

6.0 STATUTORY APPROVALS

6.1 Building Plan Approval (copy to be enclosed) :
6.2 Date of approval :
6.3 Approving authority :
6.4 Details of Planning Permit Proceedings:
6.5 Industrial licenses (as applicable to the project):
6.6 Factories Act :
6.7 TNPCB clearance :
6.8 Local Body clearances required :
6.9 Other Statutory clearance, if any :
7.0 Date of commencement of commercial production
   (Certificate of Statutory Auditors/Chartered Accountant of the company to be enclosed)

8.0 Details of subsidy application : registered, if any, for this project with other institution/agency of the Central Government/State Government/
any other Agencies

DECLARATION

I/We hereby agree that capital subsidy will be sanctioned as per the extant guidelines issued by Government from time to time. I/We certify that all the information furnished by us in this regard are true and I/We fully understand that if the information furnished is found to be false or misrepresented, we are liable to repay the above capital subsidy in full alongwith interest at such rate prescribed by the Government/TIIC from time to time. Further, I/We shall forthwith repay the capital subsidy amount disbursed to me/us under this scheme, if the capital subsidy amount to Medium/Mega/Large Scale Industries is found to have been disbursed in excess of the capital subsidy amount actually admissible for whatsoever reason. Further, we also are liable to pay interest at such rate as prescribed by the Government/TIIC from time to time on such amount and such other charges/expenses which may be repayable by us.

It is hereby further certified that I/We have not hitherto applied for or have received any amount by way of grant of any subsidy in respect of this project from Central/State Government or its agencies.
I / We certify that all information furnished by me / us is true and that I / We have no borrowing arrangement for the unit with any one except as indicated in the application, and that no legal action has been / is being taken against me / us and that I / We shall furnish all other information that may be required by TIIC in connection with my / our application and that this and any other information available with TIIC pertaining to my / our application and to the borrowing unit present and future, may also be exchanged by you with any agency TIIC may deem fit, and that TIIC and its representatives, representatives of RBI, IDBI, SIDBI or any other agency as authorised by TIIC, may at any time inspect / verify our assets, books of accounts etc., in our factory and business premises.

I / We certify that as on date there are no overdues to any financial institutions / banks from the undersigned, the other promoters and the Company/Companies in which the other promoters have interest as a promoter / director / partner / proprietor. I / We also certify that there are no statutory overdues or liabilities and no legal action is pending against me / the promoters / the Company or other in which I am / the other promoters are Director(s) / Partner(s) / Proprietor. I/We further fully understand that in case of non-compliance of the conditions, the capital subsidy amount alongwith the interest as prescribed by the State Government/TIIC from time to time shall be recovered from us treating it as Government Dues.

Yours faithfully

Station:
Date:             Signature of the applicant
Name of the signatory
for and on the behalf of
(Name of the unit)
The list of enclosures (whichever is applicable) to be submitted
(all copies shall be duly attested by the Statutory Auditors/authorized agency of the company/Chartered Accountant of the company)

(i) Copy of MSME/ SIA Acknowledgement /ROC/Company Identification Number :
(ii) Copy of the Partnership Deed and Firm Registration Certificate
(iii) Copy of the Memorandum & Articles of Association and Date of Incorporation Certificate.
(iv) Copy of the sale deed/lease deed executed for the factory premises
(v) Copy of the building plan approval
(vi) Copy of the Industrial License as applicable
(vii) Copy of TNPCB Clearance
(viii) Copy of the invoices of the plant and machinery (imported & indigenous) purchased duly attested by the authorized signatory of the company/concern
(ix) Copy of the T&C of term loan/working capital loan sanction, if any, extended by Bank/Financial Institution
(x) Certificate from Company’s Statutory Auditor in case of companies incorporated under ROC/ Chartered Accountant in case of other firms who certified the Annual Accounts of the concern for the amount spent in Land, Building and Plant & Machinery as per the format enclosed.
(xi) Chartered Engineer’s Certificate for the investment made in Land, Building and plant and machinery as per the format enclosed.
(xii) The date of commencement of commercial production for the new/expansion project duly certified by the unit’s Statutory Auditors in case of companies incorporated under ROC / Chartered Accountant in case of other firms who certified the Annual Accounts of the concern

(xiii) Copy of the first invoice raised alongwith certificate given by the Statutory Auditors in case of companies incorporated under ROC/Chartered Accountant in case of other firms who certified the Annual Accounts of the concern of the company

(xiv) Copy of the last 3 years Audited Balance Sheet and Profit and Loss Account alongwith the schedules

(xv) The certificate issued by the unit’s Chartered Accountant who certified the Annual Accounts of the concern / Statutory Auditor in case of companies incorporated under ROC for the employment of the direct workers as per the prescribed format

(xvi) The documents for new/second hand imported machinery such as copy of Letter of Credit, Bill of Entry, Bill of Lading, duty and details of clearing charges paid etc, shall be furnished.

(xvii) For imported second hand machinery, Chartered Engineer’s Certificate indicating the country of origin, year of manufacture, condition of the machinery, reasonableness of the price and residual life of the machinery shall be furnished.

Note:

Chartered Engineers who are duly approved by the Institution of Engineers of India and enrolled as Panel Valuers with Income Tax Department/Nationalised Banks/Public Sector Insurance Companies will be eligible to give certificates.

Practicing members of the Institute of Chartered Accountants of India will be eligible to give certificates.
Certificate to be furnished from the Company / Concern’s
Chartered Accountant/Statutory Auditors

We hereby certify that M/s -------------------------------- having its factory at ------------------------------- have made the following fixed capital investment for their new unit/expansion project during the period of investment from ----- to ---- and commenced commercial production on ----------- in respect of the erection of Effluent Treatment Plant.

<table>
<thead>
<tr>
<th>Nature of assets</th>
<th>Investment made by the company</th>
</tr>
</thead>
<tbody>
<tr>
<td>Land</td>
<td></td>
</tr>
<tr>
<td>Building</td>
<td></td>
</tr>
<tr>
<td>Plant &amp; Machinery</td>
<td></td>
</tr>
</tbody>
</table>

Details to be enclosed separately in the Annexure to this certificate

We hereby confirm that we have examined the prescribed registers/books of account and the bank statement for the period of investment from ------ to ----------- in respect of the above unit

We fully understand that information furnished in this certificate if found to be incorrect or false, will render us liable to face any penal action or other consequences as may be prescribed in the law or otherwise warranted

Name: ---------------------------
Membership No: ---------------
Full address: ---------------------
Date: ------------
Place: -------

Signature of applicant/Authorized Signatory: ____________________________
Name: ____________________________
Designation: ____________________________

Signature of Chartered Accountant/Statutory Auditor of the company:
(Sign & seal of the signatory)
Chartered Engineer Certificate

We hereby certify that M/s ----------------------------- having its factory at ------------------------------- have made the following fixed capital investment in Land and Building and Plant & machinery for their new/expansion project as given below:// in respect of the erection of Effluent Treatment Plant

<table>
<thead>
<tr>
<th>Nature of assets</th>
<th>Investment made by the company</th>
</tr>
</thead>
<tbody>
<tr>
<td>Land</td>
<td></td>
</tr>
<tr>
<td>Building</td>
<td></td>
</tr>
<tr>
<td>Plant &amp; Machinery</td>
<td></td>
</tr>
</tbody>
</table>

Details to be enclosed separately in the Annexure to this certificate

We hereby confirm that we have inspected the land and building and the plant & machinery erected at the unit and confirm that the said assets were created from the period from ------- to ------. We certify that the buildings are constructed as per the building plan approval vide Proceedings No --------- . We also certify that the assets created are new and we have verified and valued the assets created. We fully understand that information furnished in this certificate if found to be incorrect or false, will render us liable to face any penal action or other consequences as may be prescribed in the law or otherwise warranted

Name -----------------------------
Membership No -------------------
Full address ---------------------
Name and address of the institution where registered ----------------------
Date --------
Place ------

Signature of applicant/ Authorized Signatory

Signature of Chartered Engineer (Sign & seal of the signatory)

Name :
Designation:
Annexure to Chartered Engineer's Certificate dated  ------

Name of the unit:

THE DETAILS OF LAND PURCHASED/BUILDING CONSTRUCTED DURING THE PERIOD FROM ----- TO ----- 

LAND: /RS IN LAKHS/

<table>
<thead>
<tr>
<th>SLNO</th>
<th>LOCATION (DETAILS LIKE S.NO/D.NO, STREET NAME, VILLAGE NAME, TALUK, DISTRICT)</th>
<th>EXTENT</th>
<th>GUIDE LINE VALUE AT THE TIME OF PURCHASE</th>
<th>DOCUMENT VALUE</th>
<th>VALUE AS CERTIFIED BY CHARTERED ENGINEER</th>
</tr>
</thead>
</table>

BUILDING:

<table>
<thead>
<tr>
<th>SLNO</th>
<th>DESCRIPTION</th>
<th>TYPE OF ROOF</th>
<th>AREA OF CONSTRUCTION</th>
<th>VALUE AS CERTIFIED BY CHARTERED ENGINEER</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>PRODUCTION HALL</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>TRAINING CENTRE</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>RESEARCH &amp; DEVELOPMENT</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>TESTING &amp; QUALITY CONTROL</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>GODOWNS (RAW MATERIALS &amp; FINISHED PRODUCTS)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

We certify that the above buildings are newly constructed and are within the factory premises/project site mentioned in the above land column

Name ---------------------------
Membership No ---------------
Full address ---------------------
Name and address of the institution where registered -----------------
Date  ---------
Place  ---------

Signature of the applicant/ Authorized Signatory

Signature of Chartered Engineer

(Sign & seal of the signatory)

Name :
Designation:
Annexure to Chartered Engineer’s Certificate dated ------

Name of the unit:

Address of the factory (where plant and machinery are installed)

THE DETAILS OF THE PLANT AND MACHINERY INSTALLED AS ON THE DATE OF COMMENCEMENT OF COMMERCIAL PRODUCTION

<table>
<thead>
<tr>
<th>SLNO/DESCRIPTION</th>
<th>NAME OF THE MACHINERY</th>
<th>NAME OF THE SUPPLIER</th>
<th>BILL/INVOICE NUMBER &amp; DATE</th>
<th>VALUE OF THE MACHINERY AS CERTIFIED BY THE CHARTERED ENGINEER</th>
<th>NEW OR SECOND HAND MACHINERY</th>
</tr>
</thead>
<tbody>
<tr>
<td>IMPORTED MACHINERY</td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>1.</td>
<td></td>
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<tr>
<td>2.</td>
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<tr>
<td>3.</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>INDIGENOUS MACHINERY</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>1.</td>
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<tr>
<td>2.</td>
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<tr>
<td>3.</td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

Name  ---------------------------
Membership No  ---------------
Full address  ------------------
Name and address of the institution where registered  ---------------
Date  --------
Place  ------

Signature of the applicant/Authorized Signatory

Signature of Chartered Engineer
(Sign & seal of the signatory)

Name:
Designation:
DATE OF COMMENCEMENT OF COMMERCIAL PRODUCTION CUM FRST SALE INVOICE CERTIFICATE

This is to certify that M/s ------------------------ having its factory at ----------------------------
have commenced the commercial production on ----------- and raised the first invoice
on ------------------- bearing no ---------- dated ----------- for a total invoice value of
Rs.----------------------
We fully understand that information furnished in this certificate if found to be
incorrect or false, will render us liable to face any penal action or other
consequences as may be prescribed in the law or otherwise warranted

Name ---------------------------
Membership No ---------------
Full address ---------------------
Name and address of the institution where registered -----------------
Date -----------
Place ---------

Signature of applicant/ Authorized Signatory
Signature of Chartered Accountant/
Statutory Auditor of the company
(Sign & seal of the signatory)
Name:
Designation:
EMPLOYMENT OF DIRECT WORKER CERTIFICATE

This is to certify that M/s ---------------- has its factory at ----------------------------- have regularly employed or has ----- numbers of direct workers (excluding contract/temporary/casual workers) on regular employment at the time of commencement of commercial production on ---------

We fully understand that information furnished in this certificate if found to be incorrect or false, will render us liable to face any penal action or other consequences as may be prescribed in the law or otherwise warranted

Name  ---------------------------
Membership No  ---------------
Full address  ---------------------
Name and address of the institution where registered  ---------------
Date  --------
Place  -------

Signature of applicant/ Authorized Signatory
Signature of Chartered Accountant/ Statutory Auditor
(Sign & seal of the signatory)

Name:  
Designation:  